## CPEL0154105

## Patent Office of the People's Republic of China

Address: Receiving Section of the Chinese Patent Office, No. 6 Tucheng Road West, Haidian District, Beijing Postal code: 100088

| Applicant SEIKO EPSO                 | SEIKO EPSON CORPORATION            |               | Date of Issue       |
|--------------------------------------|------------------------------------|---------------|---------------------|
|                                      | China Patent Agent (H.K.) Ltd.     |               | October 10,<br>2003 |
| Patent<br>Application No. 01143670.0 | Application December 17, Date 2001 | Exam<br>Dept. |                     |
| Title of<br>Invention                |                                    |               |                     |

| First Office Action  |
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| 1. Pursuant to the provision of Article 35 (1) of the Chinese Patent Law, the examiner made an examination as to substance of the captioned patent application for invention upon the request for substantive examination filed by the applicant.  |
| Pursuant to the provision of Article 35 (2) of the Chinese Patent Law, the Chinese<br>Patent Office has decided to conduct on its own initiative an examination as to<br>substance of the captioned patent application for invention.  |
| <ul> <li>2. The applicant requests taking the filing date, <u>December 18, 2000</u>, at the <u>JP</u> Patent Office, the filing date, <u></u>, at the <u></u> Patent Office, the filing date, <u></u>, at the <u></u> Patent Office as the priority date of the present application.</li> <li>A copy of the first filed patent application certified by the receiving organ of the initial country of filing has been submitted by the applicant.</li> <li>A copy of the first filed patent application certified by the receiving organ of the initial country of filing has not been submitted by the applicant. Pursuant to the provision of Article 30 of the Chinese Patent Law, no priority right shall be deemed to have been claimed.</li> </ul> |
| 3. The applicant filed amended application document(s) on  |
| and  Examination has confirmed that filed on cannot be accepted, filed on cannot be accepted, as the above amendment(s) □ is/are not in conformity with the provision of Article 33 of the Chinese Patent Law.  □ is/are not in conformity with the provision of Rule 51 of the Implementing Regulations of the Chinese Patent Law.  □ For the specific reason that the amendment(s) cannot be accepted, see the text of the Office Action.  |

| 4.☑        | The examination is conducted in the light of the orig           | inglapplication documents           |
|------------|---|-------------------------------------|
|            | The examination is conducted in the light of the follo          | wing application documents)         |
|            | in the original application documents submitted on              | the files date:                     |
|            | Claim(s) page(s)  | me ming date:                       |
|            | Claim(s), page(s)   | of the description, Figure(s)       |
|            | of the drawing(s); Claim(s), page(s)                            | of the description,                 |
|            | Figure(s); Clearly submitted on; Clearly submitted on;          | aim(s), page (s)                    |
| _          | of the description, Figure(s) submitted or                      | 1                                   |
| u          | Abstract of the description submitted on                        | ·                                   |
|            |   |                                     |
| 5. □       | The present Office Action has been prepared withou              | ut a search having been             |
|            | conducted.  |                                     |
| $\square$  | The present Office Action has been prepared with a              | search having been                  |
|            | conducted.  | 9                                   |
| Ø          | The following reference document(s) is/are cited in t           | his Office Action (its/their serial |
|            | number(s) will, continue to be used throughout the e            | examination procedure):             |
|            |   | Date of Publication                 |
| No.        | Number of Title of Document                                     | (or filing date of interfering      |
|            |   | application)                        |
| 1          | CN 1253456 A = US 639460 6                                      | (Date) May 17, 2000                 |
| 2          | JP 11-295660 A  |                                     |
| 3          | 01 114233000 A  | (Date) October 29, 1999             |
| 4          |   | (Date)                              |
|            |   |                                     |
| 5          |   |                                     |
| 6          |   |                                     |
| ,          |   |                                     |
|            | e concluding comments of the examiner are:                      |                                     |
|            | the description:  |                                     |
|            | he content of the application comes within the scop             | pe where no patent right is         |
|            | granted as provided in Article 5 of the Patent Law.             |                                     |
|            | he description is not in conformity with the provision          | of Article 26(3) of the Patent      |
|            | w.  | ,                                   |
|            | he drafting of the description is not in conformity with        | n the provision of Rule 18 of the   |
| ir         | mplementing Regulations.  |                                     |
|            | the claims:   |                                     |
|            | Claim comes within the scope where no patent right i            | s granted as provided in Article    |
| 2          | 5 of the Patent Law.  | s granned as provided in Afficie    |
|            | Claim is not in conformity with the definition of invention     | on in Rulo 2(1) of the              |
|            | nplementing Regulations.  | on in Role 2(1) of the              |
|            |   |                                     |
| Po         | Claim <u>1, 8, 11</u> does not possess novelty as prostent Law. | ovided in Article 22(2) of the      |
|            |   |                                     |
|            | Claim 7-11 does not possess inventiveness as                    | provided in Article 22(3) of the    |
|            | atent Law.  |                                     |
| <u>п</u> С | laim does not possess practical applicabilit                    | ty as provided in Article 22(4) of  |
|            | ne Patent Law.  | •                                   |
| ЦC         | laim is not in conformity with the provision of                 | of Article 26(4) of the Patent      |
| La         | w.  | ·                                   |
|            | laim is not in conformity with the provision of                 | - f A - f = 1 - O1 (1)              |

| Law.  ☑ Claim1-5, 7-12, 14, 16, 17, 19, 20 is not in conformity with the provisions of Rules 20-23 of the Implementing Regulations.   |
|---|
| ☐ Claim is not in conformity with the provision of Article 9 of the Patent Law. ☐ Claim is not in conformity of the provision of Rule 12(1) of the Implementing Regulations.  |
| For specific analyses of the above concluding comments, see the text of this Office Action.   |
| 7. In view of the above concluding comments, the examiner holds that:   |
| <ul> <li>□ The applicant should amend the application document in accordance with the requirements raised in the text of this Office Action. The amended document(s) should be submitted in duplicate and should conform to the provisions of Article 33 of the Patent Law and Rule 51 of the Implementing Regulations of the Chinese Patent Law.</li> <li>☑ The applicant should expound in his Observations the reasons why the captioned patent application is patentable and amend the places not conforming to regulations as pointed out in the text of the Office Action, otherwise it would be impossible for the patent right to be granted.</li> <li>□ The captioned patent application contains no substantive content for which the patent right may be granted, thus if the applicant has not advanced his reasons or has not done so adequately, the application will be rejected.</li> </ul>   |
| <ol> <li>The applicant should pay attention to the following matters:         <ol> <li>In accordance with the provision of Article 37 of the Patent Law, the applicant should submit his/its Observations within <u>four</u> months from the date of receipt of this Office Action; if, without any justified reason, the time limit for making response is not met, the application will be deemed to have been withdrawn.</li> </ol> </li> <li>The amendments made by the applicant to his application should conform to the provision of Article 33 of the Patent Law, the amended text should be in duplicate and the format should conform to the relevant provisions of the Guidelines for Examination.</li> <li>The applicant's Observations or amended text should be mailed or presented to the Receiving Section of the Chinese Patent Office. Document no mailed or presented to the Acceptance Section have no legal force.</li> <li>Without making an appointment, the applicant and/or agent may not come to the Chinese Patent Office to hold an interview with the examiner.</li> </ol> |
| 9. This Office Action consists of the text portion totalling3 page(s) and of the following annex(es):   |
| 2 duplicate copies of the reference document(s) cited totalling 24  |
| page(s).  |
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